



13.0

APPLICATION FORM

Section 1 – Guide to complete

1.1 How to complete and submit this application form

This application form can be completed and submitted in hard copy or electronically (via email).

To submit in hard copy

Print the Application Form, complete by hand and **SEND ALL 5 SECTIONS OF THE FORM ALONG WITH THE REQUIRED IDENTIFICATION DOCUMENTS** either:

By mail to:

Huntley Management Limited
Level 30, Australia Square
264 George Street
Sydney NSW 2000

OR

Scan and email to sydney@huntleygroup.com.au and cc to rebecca@skyhotel.com.au

To submit electronically

- Download the Application Form to your computer.
- Complete the Application Form on screen and ensure that you save once complete.
- Email **ALL 5 SECTIONS OF THE FORM ALONG WITH THE REQUIRED IDENTIFICATION DOCUMENTS** to sydney@huntleygroup.com.au and cc to rebecca@skyhotel.com.au
- Make your electronic payment as per Section 2 of the Application Form.

You are welcome to contact our Client Manager Rebecca Dennis on 0432 485 849 for assistance with completing the Application Form. Ideally, once you have completed and submitted your application you should advise Rebecca by emailing rebecca@skyhotel.com.au to ensure it has been received and recorded.

1.2 Application structure

1. Sections 2 and 3 of this Application Form are the means by which you subscribe to SkyHotel Investment Units in the Property Collect Growth Trust.
2. If you are completing this form electronically you can select and tick the box(es) in Sections 2 and 3. Please do not hesitate to contact us if you need assistance.
3. Section 2 seeks information about the identification documents you are submitting with your application, while Section 3 is where you provide your contact details, indicate the amount you wish to invest, and provide information related to the type of investor you are.
4. Importantly, you must read Section 3.3, Acknowledgements, in full, including particulars regards anti-money laundering and terrorism financing. Then tick the box to confirm that you have read them.
5. Section 3.4 requires information related to the type of investor you are. You do NOT need to fill in all parts of Section 3.4, only those parts that are relevant to your investor type. Table 1 below lists the various investor types and the parts of Section 3.4 that are relevant to each.
6. Section 4 addresses foreign ownership.
7. Section 5 is the Acknowledgement declaration. The Application Form does not need to be signed. Instead, you must again tick the Acknowledgement at the end of the Application Form and enter the date you completed the Application Form. **Returning this Application Form together with certified ID documents and your investment payment acts as your acceptance of all terms and conditions of this PDS.**

Relevant parts of Section 3.4 to be completed for each investor type

Investor type	Note	Part/s to complete				
		A	B	C	D	E
Individual	1	✓				
Jointly	2	✓	✓			
Individual trustee	3	✓			✓	✓
Company Pty Ltd	4			✓		✓
Company Public	5			✓		
Unregulated Trust corporate trustee	6			✓	✓	✓
Unregulated Trust individual trustee	7	✓			✓	✓
SMSF corporate trustee	8			✓	✓	
SMSF individual trustee	9	✓			✓	
Regulated Trust corporate trustee	10			✓	✓	
Regulated Trust individual trustee	11	✓			✓	
Other/Overseas	12		Please contact us			

Notes on how you are investing

- Individual** – if you are investing in your own personal name.
- Jointly** – if you are investing with someone else in personal names.
- Individual Trustee** – if you are investing where you are personally the trustee of the trust such as a family discretionary trust.
- Company Pty Ltd** – if you are investing as a Proprietary Limited company.
- Company Public** – if you investing as a public company.
- Unregulated trust corporate trustee** – if you are investing as a corporate trustee of a family trust, discretionary trust or private unit trust.
- Unregulated trust individual trustee** – as above but a person as trustee rather than a corporate trustee.
- SMSF Corporate Trustee** – if you are investing using your Self Managed Super Fund with a corporate trustee.
- SMSF Individual Trustee** – if you are investing using your Self Managed Super Fund with an individual trustee.
- Regulated Trust Corporate Trustee** – A Regulated trust includes an SMSF, registered retail managed investment scheme and a wholesale managed investment scheme that doesn't make small scale offerings.
- Regulated Trust Individual Trustee** – as above but a person as trustee rather than a corporate trustee.
- Foreign investor** – please contact us.

1.3 Investor Identification

In 2006 the Federal Government enacted the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (AML/CTF Act). The purpose of this legislation is to enable Australia's financial sector to maintain international business relationships, detect and prevent money laundering and terrorism financing by meeting the needs of law enforcement agencies and to bring Australia in line with international standards.

As Huntley Management Limited is the Responsible Entity for managed investment products, we have to meet stringent investor identification and verification requirements. This means that prior to units in Property Collect Growth Trust being issued, we must be 'reasonably satisfied' that investors are who they claim to be.

What you need to do

If you invest in the Fund through a financial adviser or an IDPS facility then they will request and collect any identification (ID) verification materials. If you are investing directly, you need to provide certified ID documents that relate to your investor type, as indicated in Section 2 of the Application Form. There are three categories of ID documentation required:

- Category A ID documents, which must be provided by all investors
- Category B ID documents, which must be provided by Australian companies
- Category C ID documents, which must be provided by trusts, trustees, and SMSFs

We may request additional information from you where we reasonably consider it necessary to satisfy our obligations under the AML/CTF Act, and applications cannot be processed until the necessary information is provided.

Certifying your ID documents

You are required to attach a certified, legible copy of the ID documentation that you are relying upon (and any required translation) to confirm your identity. Any of the following may certify your documents.

- A Justice of the Peace.
- A member of the Chartered Accountants Australia and New Zealand, CPA Australia or the Institute of Public Accountants.
- A person who is enrolled on the roll of the Supreme Court of a State or Territory, or High Court of Australia, as a legal practitioner (however described).
- A judge of a court or a magistrate.
- A permanent employee of Australia Post employed in an office supplying postal services to the public with two years continuous service.
- A teacher employed on a permanent full-time or part-time basis at a school or tertiary institution
- A police officer.
- A person who, under a law in force in a State or Territory, is currently licenced or registered to practice one of the following occupations: Chiropractor, Dentist, Medical practitioner, Nurse, Optometrist, Pharmacist, Physiotherapist, Psychologist, Veterinary surgeon.
- An officer with, or authorised representative of, a holder of an Australian financial services licence or Australian credit licence, having two or more continuous years of service with one or more licensees.
- Any other persons mentioned in Part 1 and Part 2 of the Statutory Declarations Regulations 1993 – Schedule 2.
- A person in a foreign country who is authorised by law in that jurisdiction to administer oaths or affirmations or to authenticate documents.

Correct formatting of registerable titles/names

Only legal entities are allowed to hold Units in the Fund. Applications must be in the name(s) of natural person(s), companies or other legal entities acceptable to the Fund. At least one full given name and the surname are required for each individual. The name of the beneficiary or any other non-registerable name may be included by way of an account designation if completed **exactly** as described in the following examples of correct forms of registerable title.

Type of Investor	Correct format of Registerable Name	Incorrect format
Individual		
Use given names, not initials	John Alfred Smith	J-A-Smith
Company		
Use company name, not abbreviations. Director(s) names must be completed within the Applicant(s) Details section	ABC Pty Ltd	ABC P/L or ABC Co
Trust¹		
Use trustee(s) names	Sue Wright	Sue Wright Family Trust
Use name of the trust in the account designator section	Sue Wright Family Trust	Sue Wright
Superannuation Fund		
Use name of trustee of fund	Jan Wright Pty Ltd	Jan Wright Super Fund
Use name of fund in the account designator section	Jan Wright Super Fund	Jan Wright Pty Ltd
Deceased Estate²		
Use executor(s) names	Sue Lennon	Estate of the Late Jon Lennon
Use name of the deceased in the account designator section Estate of the Late Jon Lennon	Estate of the Late Jon Lennon	
A Minor (less than 18 years old)³		
Use trustee, parent or guardian(s) personal names	Sue Wright	Junior Wright
Use name of Minor in the account designator section	Junior Wright	Sue Wright

1 If there are two or more trustees, please name each. All trustees should sign.

2 A copy of the grant of probate or letters of administration, certified as being a true and accurate copy of the original by a Justice of the Peace, a lawyer or a commissioner of declarations should be attached.

3 If the Minor does not hold a TFN, please supply the TFN of one of the trustees .

Section 2 – Identification documents

Category A: Identification documents required from all investors

You must provide one valid primary ID document **OR** two acceptable secondary ID documents **OR** two acceptable foreign ID documents.

Primary ID documents

Please tick and provide **ONE** of the following valid options:

- Australian State/Territory driver's licence containing a photograph of the person
- Australian passport (a passport that has expired within the preceding two years is acceptable)

- Card issued under a State or Territory for the purpose of proving a person's age containing a photograph of the person
- Foreign passport or similar travel document containing a photograph and the signature of the person*
- OR** select two forms of acceptable secondary ID documentation.

Acceptable secondary ID documents

If you cannot provide one form of valid primary documentation, you can provide **TWO** forms of secondary ID documentation instead.

Please tick and provide **ONE** of the following valid options:

- Australian birth certificate
- Australian citizenship certificate
- Pension card issued by Centrelink
- Health card issued by Centrelink

AND tick and provide **ONE** of these additional valid options:

- A document issued by the Commonwealth or a State or Territory within the preceding 12 months that records the provision of financial benefits to the individual and which contains the individual's name and residential address
- A document issued by the Australian Taxation Office within the preceding 12 months that records a debt payable by the individual to the Commonwealth (or by the Commonwealth to the individual), which contains the individual's name and residential address
- A document issued by a local government body or utilities provider within the preceding three months which records the provision of services to that address or to that person (the document must contain the individual's name and residential address)
- If under the age of 18, a notice that: was issued to the individual by a school principal within the preceding three months; and contains the name and residential address; and records the period of time that the individual attended that school

Acceptable foreign ID documents

You should only tick and provide **BOTH** of these ID documents if you cannot provide suitable primary or secondary ID documents:

- Foreign driver's licence that contains a photograph of the person in whose name it issued and the individual's date of birth*
- National ID card issued by a foreign government containing a photograph and a signature of the person in whose name the card was issued*

*Documents that are written in a language other than English must be accompanied by an English translation prepared by an accredited translator.

Category B Identification documents – for Australian companies

If you are an Australian company, you must tick and provide acceptable Category A identification documents for each Director **AND** tick and provide:

- A certified copy of the company constitution

Category C Identification documents – for trusts, trustees, and SMSFs

No Trust documentation is required **IF** the investor is a trust is a registered managed investment scheme, regulated trust (e.g. SMSF) or government superannuation fund **AND** has an Australian Business Number (ABN).

For other Trust types, or if the Trust does not have an Australian Business Number (ABN), then **ONE** of the following Acceptable ID Document needs to be ticked and provided:

- A certified copy or certified extract of the Trust Deed
- A notice issued by the Australian Taxation Office within the last 12 months (e.g. a Notice of Assessment)
- A letter from a solicitor or qualified accountant that confirms the name of the trust

*Documents that are written in a language other than English must be accompanied by an English translation prepared by an accredited translator.

ALSO, please ensure that you have ticked and provided Category A ID documents for **ONLY ONE** of the trustees, and provide their details below. .

Full name

Date of birth (DD/MM/YY)

Residential address

Suburb State Postcode

Country

Section 3 – Application Form

To: Huntley Management Limited ABN 52 089 240 513

This Application Form relates to the application for SkyHotel Investment Units in the Property Collect Growth Trust ARSN 621 450 397 and the SkyHotel Investment Fund (**Scheme**) under Information PDS dated 15 November 2021 (**PDS**) issued by Huntley Management Limited ABN 52 089 240 513 (**HML**) AFSL No. 229754 as the responsible entity of the Scheme. This form must be accompanied by the PDS when provided to any person. Unless otherwise defined, capitalised terms in this Application Form have the meaning given to them in the PDS.

Completing and signing this form achieves 3 things:

- you apply to participate in the Property Collect Growth Trust and SkyHotel Investment Fund to the extent nominated;
- you acknowledge that you have read and understood the PDS and attached legal documents; and
- you confirm your payment method for the amounts due under the PDS and your commitment thereto.

In the event you are completing by hand, please use black pen and print in CAPITAL LETTERS. .

3.1 Contact details

Title Given name(s)

Surname

Phone Email

Postal address

Suburb State Postcode

We will upload relevant information, updates, disclosure documents, forms and reports to our website www.huntleygroup.com.au and/or send to your email address nominated above. Should you wish to opt out of our electronic disclosure, please contact us on 02 9233 5444.

3.2 Investment amount

By completing this form, you apply for the number of SkyHotel Investment Units specified in the following box and are thereby liable to pay for the SkyHotel Investment Units. The minimum investment is \$5,000, with increases in \$1,000 increments thereafter.

Number of SkyHotel Investment Units at \$1 per Unit Amount invested \$

You must pay the amount stated above electronically to the following account:

Account Name: Huntley Custodians Limited ACF the Property Collect Growth Trust – Application

Bank: National Australia Bank

BSB: 082 080

Account No: 76 412 8055

Please include your name as the payment reference.

3.3 Acknowledgements

Please read the following Acknowledgements and then confirm that you have done so by checking the box.

By checking this box, you acknowledge that:

- You have read and understood the PDS and you have received and accepted the offer in it, in Australia.
- You have read and understood the Constitution.
- The Responsible Entity is not bound to accept your application in whole or in part.
- Participation in the Property Collect Growth Trust and SkyHotel Investment Fund offered by this PDS is considered to be speculative and you have had the opportunity to seek independent legal, financial and taxation advice on the implications of investing in the Project.
- Huntley and/or any of its directors, employees or advisers, has not made any recommendation to you concerning participation in the Property Collect Growth Trust and SkyHotel Investment Fund.
- You have elected to appoint the attorneys specified in the following Power of Attorney to exercise the powers referred to in the Power of Attorney set out below as if you had signed the same.
- Your application is true and correct and you have legal power to invest.
- You are bound by the provisions of the Constitution as amended from time to time and this Application Form.
- If you have received the PDS from the internet or other electronic means that you received it personally or a printout of it, accompanied by or attached to this Application Form.
- If this is a joint application, each of you agrees, unless otherwise indicated on this application, that your investment is as joint tenants.

- Each of you who is able to operate the account will bind the other(s) to any transaction including investments, switches or withdrawals by any available method.
- If investing as trustee on behalf of a superannuation Scheme or trust, you confirm that you are acting in accordance with your designated powers and authority under the trust deed. In the case of superannuation Schemes, you also confirm that it is a complying Scheme under the Superannuation Industry (Supervision) Act.
- None of HML, any member of the Huntley Group or any of their officers, advisers, agents or associates in any way guarantee the performance of the Scheme nor any return of capital.
- HML and its related bodies corporate may disclose and use personal information as contemplated in HML's Privacy Policy available at www.huntleygroup.com.au. By completing the application form you are providing personal information to HML. Your personal information will be used to process your application and, if your application is successful, to administer and report on your SkyHotel Investment Units in the Scheme and the progress of the Scheme's activities. Your personal information may also be provided to other persons to enable HML to provide these services to you (e.g. service providers, consultants and advisers), or to persons that you authorise to act on your behalf in relation to your investment (e.g. your financial adviser, accountant or lawyer). We may also disclose your personal information to others as permitted under the law.
- If you do not provide all or part of the information required by the application form, HML will not be able to accept your application and you will not be able to acquire SkyHotel Investment Units in the Scheme.
- If any of your personal details change, please contact HML at the address stated in this application form. You can also contact HML to find out what personal information is held about you or if you have a complaint about the way in which your personal information has been handled.
- If you instruct HML by electronic means (for example by email) you indemnify HML all losses and liabilities arising from any payment or action HML makes based on any instruction (even if not genuine) that Huntley receives by an electronic communication which appears to indicate to HML that the communication has been provided by you.
- You will provide to HML or its nominee any information that HML reasonably requires in order to enable Huntley to comply with all its obligations under the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 and its associated rules and regulations (in force from time to time).
- Investments in the Scheme are subject to the risks outlined in the PDS.
- The information collected by HML (including in this application form) may be used for identification purposes, including via a third party verification service, to enable HML to comply with all its customer identification obligations under the Act and associated rules and regulations referred to above.
- You will provide HML or its nominee any information that HML reasonably requires in order to enable Huntley to meet all of its compliance, reporting and other obligations under the United States of America Foreign Account Tax Compliance Act (FATCA) and all associated rules and regulations from time to time (including, without limitation, the Inter-Governmental Agreement (IGA) entered into between the governments of the US and Australia). You understand that HML may disclose such information to the Australian Taxation Office (ATO) who may in turn disclose the information to the US Internal Revenue Service (IRS).
- You understand that where you have provided HML or its nominee with information about your status or designation under or for the purposes of FATCA (including, but without limitation, US residency or citizenship status and FATCA status as a particular entity type) and all associated rules and regulations, Huntley will treat that information as true and correct without any additional validation or confirmation being undertaken by Huntley except where it is under a legal obligation to do so.

3.4 Investor type

You do not need to complete all parts of this section, only the parts that apply to your investor type, as outlined below.

What type of investor are you?	Part/s to complete
<input type="checkbox"/> Individual/joint holding	A or A + B
<input type="checkbox"/> Australian Proprietary Company	C + E
<input type="checkbox"/> Australian Public Company	C
<input type="checkbox"/> Unregulated trust* with corporate trustee	C + D + E
<input type="checkbox"/> Unregulated trust* with individual trustee	A + D + E
<input type="checkbox"/> Regulated trust* with corporate trustee (this includes SMSF)	C + D
<input type="checkbox"/> Regulated trust* with individual trustee (this includes SMSF)	A + D
<input type="checkbox"/> Other (eg. foreign companies and partnerships)	Please contact us

* A Regulated trust includes an SMSF, registered retail managed investment scheme and a wholesale managed investment scheme that doesn't make small scale offerings. Other trusts are Unregulated Trusts.

A Individual investor or individual trustee

Title Given name(s) Surname

Date of birth (DD/MM/YY)

Email

Residential address

Suburb State Postcode

Are you an Australian resident for tax purposes?

Yes No (specify relevant countries)

If you are an Australian resident for tax purposes, please provide your tax file number (TFN):

If you are a foreign resident* for tax purposes, do you have a tax identification number (TIN)?

Yes – please provide TIN for each country

Country	TIN
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

No – please tick **one** of the following:

- The country of tax residency does not issue TINs
- I have not been issued with a TIN
- The country of tax residency does not require the TIN to be disclosed

*Tax residency rules differ by country. You can be a tax resident of a country based on the amount of time you spend in that country, the location of your residence or place of work. Tax residence in the US can be as a result of citizenship or residency.

Are you a Politically Exposed Person*? **Yes** **No**

*A Politically Exposed Person includes a head of state or government, government minister or senior politician, senior government official, judge, governor of a central bank or any other person who holds a position of influence with a reserve bank, senior foreign representative, high ranking member of the armed forces or board chair or senior executive of a state owned enterprise or the immediate family member or associate of any such persons.

B Joint investor

Title Given name(s) Surname

Date of birth (DD/MM/YY)

Email

Residential address

Suburb State Postcode

Are you an Australian resident for tax purposes?

Yes **No** (specify relevant countries)

If you are an Australian resident for tax purposes, please provide your tax file number (TFN):

If you are a foreign resident* for tax purposes, do you have a tax identification number (TIN)?

Yes – please provide TIN for each country in which you are a tax resident

Country	TIN
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

No – please tick **one** of the following:

- The country of tax residency does not issue TINs
- I have not been issued with a TIN
- The country of tax residency does not require the TIN to be disclosed

*Tax residency rules differ by country. You can be a tax resident of a country based on the amount of time you spend in that country, the location of your residence or place of work. Tax residence in the US can be as a result of citizenship or residency.

Are you a Politically Exposed Person*? **Yes** **No**

*A Politically Exposed Person includes a head of state or government, government minister or senior politician, senior government official, judge, governor of a central bank or any other person who holds a position of influence with a reserve bank, senior foreign representative, high ranking member of the armed forces or board chair or senior executive of a state owned enterprise or the immediate family member or associate of any such persons.

C Australian Company or corporate trustee

Full company name/corporate trustee name/sole trader business name:

Registered business address

Suburb

State

Postcode

ABN, TFN or TFN exemption:

ACN:

Is the company an Australian resident for tax purposes?

Yes No

If the Company is a foreign resident for tax purposes, does it have a tax identification number (TIN)?

Yes – please provide TIN for each country in which the Company is tax resident

Country	TIN

No – please tick **one** of the following:

- The country of tax residency does not issue TINs
- The cCompany has not been issued with a TIN
- The country of tax residency does not require the TIN to be disclosed

Business activities

Is the company/corporate trustee a proprietary or public company?

Proprietary Public

If you are a proprietary company, provide the full name and date of birth of each director:

Director name	Date of Birth (DD/MM/YY)

If there are more directors, please provide details in a separate attachment.

D Trusts

Trustee no. 1 name

Trustee no. 1 address

Suburb State Postcode

Trustee no. 2 name

Trustee no. 2 address

Suburb State Postcode

Are there additional trustees?

Yes – please attach a separate page to this application form with the details **No**

Trust name

Business name of Trust

ABN, TFN of TFN exemption

Type of trust (e.g. family trust or SMSF)

Country in which trust was established

Only complete the remaining part of section D if the trust is an Unregulated Trust*

*A Regulated trust includes an SMSF, registered retail managed investment scheme and a wholesale managed investment scheme that doesn't make small scale offerings. Other trusts are Unregulated Trusts.

Do the terms of the trust identify the beneficiaries by reference to membership of a class?

Yes – what are the terms?

No – please provide the full name of each beneficiary:

If there are more beneficiaries, please provide details in a separate attachment.

Full name of settlor of trust*

*You do not need to provide the name of the settlor if you are a Regulated Trust, or if the settlor of the trust is now deceased, or if the settlor contributed to the trust at the time of its establishment a material asset contribution of less than \$10,000.

Is the Trust an Australian resident for tax purposes?

Yes **No**

If the Trust is a foreign resident for tax purposes, does it have a tax identification number (TIN)?

Yes – please provide TIN for each country

Country	TIN

No – please tick **one** of the following:

- The country of tax residency does not issue TINs
- The Trust has not been issued with a TIN
- The country of tax residency does not require the TIN to be disclosed

*Tax residency rules differ by country. You can be a tax resident of a country based on the amount of time you spend in that country, the location of your residence or place of work. Tax residence in the US can be as a result of citizenship or residency.

E Beneficial ownership

Beneficial Owner means an individual who ultimately 'Owns' or 'Controls' (directly or indirectly) the investor. Owns 'means ownership (either directly or indirectly) of 25% or more of a person. 'Control' includes control as a result of, or by means of, trusts, agreements, arrangements, understandings and practices, whether or not having legal or equitable force and whether or not based on legal or equitable rights, and includes exercising control through the capacity to determine decisions about financial and operating policies.

For a typical private company or trust there will be one or two individuals who own or control at least 25% of the company or trust, in which case one or both names will need to be listed as Beneficial Owners in this part of the form. If you are uncertain about who controls 25% or more, please complete this part as Decision Maker.

Please complete for each Beneficial Owner. If you are unable to ascertain the Beneficial Owners, please complete Decision Maker section below instead.

Beneficial owner 1

Name

Date of birth (DD/MM/YY)

Residential address

Suburb State Postcode

Are you an Australian resident for tax purposes?

Yes **No** (specify relevant countries)

If you are an Australian resident for tax purposes, please provide your tax file number (TFN):

If you are a foreign resident* for tax purposes, do you have a tax identification number (TIN)?

Yes – please provide TIN for each country

Country	TIN

- No** – please tick **one** of the following:
- The country of tax residency does not issue TINs
 - I have not been issued with a TIN
 - The country of tax residency does not require the TIN to be disclosed

Are you a Politically Exposed Person*? Yes No

*A Politically Exposed Person includes a head of state or government, government minister or senior politician, senior government official, judge, governor of a central bank or any other person who holds a position of influence with a reserve bank, senior foreign representative, high ranking member of the armed forces or board chair or senior executive of a state owned enterprise or the immediate family member or associate of any such persons.

Beneficial owner 2

Name

Date of birth (DD/MM/YY)

Residential address

Suburb State Postcode

Are you an Australian resident for tax purposes?

Yes **No** (specify relevant countries)

If you are an Australian resident for tax purposes, please provide your tax file number (TFN):

If you are a foreign resident* for tax purposes, do you have a tax identification number (TIN)?

Yes – please provide TIN for each country

Country	TIN

- No** – please tick **one** of the following:
- The country of tax residency does not issue TINs
 - I have not been issued with a TIN
 - The country of tax residency does not require the TIN to be disclosed

Are you a Politically Exposed Person*? **Yes** **No**

*A Politically Exposed Person includes a head of state or government, government minister or senior politician, senior government official, judge, governor of a central bank or any other person who holds a position of influence with a reserve bank, senior foreign representative, high ranking member of the armed forces or board chair or senior executive of a state owned enterprise or the immediate family member or associate of any such persons.

Beneficial owner 3Name Date of birth (DD/MM/YY) Residential address Suburb State Postcode

Are you an Australian resident for tax purposes?

 Yes **No** (specify relevant countries)

If you are an Australian resident for tax purposes, please provide your tax file number (TFN):

If you are a foreign resident* for tax purposes, do you have a tax identification number (TIN)?

 Yes – please provide TIN for each country

Country	TIN
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

 No – please tick **one** of the following:

- The country of tax residency does not issue TINs
- I have not been issued with a TIN
- The country of tax residency does not require the TIN to be disclosed

Are you a Politically Exposed Person*? **Yes** **No**

*A Politically Exposed Person includes a head of state or government, government minister or senior politician, senior government official, judge, governor of a central bank or any other person who holds a position of influence with a reserve bank, senior foreign representative, high ranking member of the armed forces or board chair or senior executive of a state owned enterprise or the immediate family member or associate of any such persons.

Beneficial owner 4Name Date of birth (DD/MM/YY) Residential address Suburb State Postcode

Are you an Australian resident for tax purposes?

 Yes **No** (specify relevant countries)

If you are an Australian resident for tax purposes, please provide your tax file number (TFN):

If you are a foreign resident* for tax purposes, do you have a tax identification number (TIN)?

Yes – please provide TIN for each country

Country	TIN

No – please tick **one** of the following:

- The country of tax residency does not issue TINs
- I have not been issued with a TIN
- The country of tax residency does not require the TIN to be disclosed

Are you a Politically Exposed Person*? **Yes** **No**

*A Politically Exposed Person includes a head of state or government, government minister or senior politician, senior government official, judge, governor of a central bank or any other person who holds a position of influence with a reserve bank, senior foreign representative, high ranking member of the armed forces or board chair or senior executive of a state owned enterprise or the immediate family member or associate of any such persons.

Decision maker

Only complete if you are unable to ascertain the Beneficial Owner. If you are a **company**, please provide name and address of any individual who is entitled to exercise 25% or more voting rights including power of veto, or holds the position of a senior management official (or equivalent).

If you are a **trust**, please provide the name and address of any individual who has the power to remove the trustee.

Decision maker 1

Name

Date of birth (DD/MM/YY)

Residential address

Suburb State Postcode

Are you an Australian resident for tax purposes?

Yes **No** (specify relevant countries)

If you are an Australian resident for tax purposes, please provide your tax file number (TFN):

If you are a foreign resident* for tax purposes, do you have a tax identification number (TIN)?

Yes – please provide TIN for each country

Country	TIN

- No** – please tick **one** of the following:
- The country of tax residency does not issue TINs
 - I have not been issued with a TIN
 - The country of tax residency does not require the TIN to be disclosed

Are you a Politically Exposed Person*? **Yes** **No**

*A Politically Exposed Person includes a head of state or government, government minister or senior politician, senior government official, judge, governor of a central bank or any other person who holds a position of influence with a reserve bank, senior foreign representative, high ranking member of the armed forces or board chair or senior executive of a state owned enterprise or the immediate family member or associate of any such persons.

Decision maker 2

Name

Date of birth (DD/MM/YY)

Residential address

Suburb State Postcode

Are you an Australian resident for tax purposes?

Yes **No** (specify relevant countries)

If you are an Australian resident for tax purposes, please provide your tax file number (TFN):

If you are a foreign resident* for tax purposes, do you have a tax identification number (TIN)?

Yes – please provide TIN for each country

Country	TIN

- No** – please tick **one** of the following:
- The country of tax residency does not issue TINs
 - I have not been issued with a TIN
 - The country of tax residency does not require the TIN to be disclosed

Are you a Politically Exposed Person*? **Yes** **No**

*A Politically Exposed Person includes a head of state or government, government minister or senior politician, senior government official, judge, governor of a central bank or any other person who holds a position of influence with a reserve bank, senior foreign representative, high ranking member of the armed forces or board chair or senior executive of a state owned enterprise or the immediate family member or associate of any such persons.

Section 4 – Mandatory Foreign Account Tax Compliance Act (FACTA) declaration for companies and trusts

- Companies and Corporate Trustees must complete section 4.1 (and possibly 4.2)
- Individuals go to section 4.2
- Trusts complete section 4.3 (and possibly 4.4)

(Note dual-residents need to complete this section)

4.1 Companies and Corporate Trustees

Please select the Company/Corporate Trustee tax status from the list below:

Financial institution*

*A Financial Institution is a custodial or depository institution, an investment entity or a specified insurance company for FATCA/CRS purposes.

If the Financial Institution has a Global Intermediary Identification Number (GIIN), please quote its GIIN.

If the Financial Institution does not have a GIIN, please select its FATCA status:

- Deemed Compliant Financial Institution
- Excepted Financial Institution
- Exempt Beneficial Owner
- Non-reporting IGA Financial Institution
- Non-participating Financial Institution
- Other (describe the company's FATCA status in the box provided)

If you are a Financial Institution that is an Investment Entity, are you located in a non-participating CRS jurisdiction and managed by another Financial Institution?

Yes No

Australian Public Listed Company, Majority Owned Subsidiary of an Australian Public Listed company or Australian Registered Charity

An Active Non-Financial Entity (NFE)*

*Active NFEs include entities where, during the previous reporting period, less than 50% of their gross income was passive income (e.g. dividends, interests and royalties) and less than 50% of assets held produced passive income. For other types of Active NFEs, refer to Section VIII in the Annexure of the OECD 'Standard for Automatic Exchange of Financial Account Information' at www.oecd.org.

Please proceed to Section 4.2.

Other (Entities that are not previously listed).

Please proceed to Section 4.2.

4.2 Foreign Beneficial Owners (Individuals)

Please complete the section below for each Beneficial Owner that is a tax resident of a country other than Australia (unless already provided in section 4E above).

Alternatively, if there are no Beneficial Owners please tick this box

If required, provide additional details on a separate sheet.

Foreign Beneficial Owner 1Full name TIN Residential address Suburb State Postcode Country **Foreign Beneficial Owner 2**Full name TIN Residential address Suburb State Postcode Country **4.3 Trust**

Please select the Trust tax status from the list below*

 Financial institution*

*A Financial Institution is a custodial or depository institution, an investment entity or a specified insurance company for FATCA/ CRS purposes.

If the Financial Institution has a Global Intermediary Identification Number (GIIN), please quote its GIIN.

If the Financial Institution does not have a GIIN, please select its FATCA status:

 Deemed Compliant Financial Institution Excepted Financial Institution Exempt Beneficial Owner Non Reporting IGA Financial Institution

(If the Trust is a Trustee-Documented Trust, provide the Trustee's GIIN)

 Non-participating Financial Institution US Financial Institution Other (describe the company's FATCA status in the box provided)

Is the Trust a Financial Institution that is an investment entity located in a non-participating CRS jurisdiction and managed by another Financial Institution?

 Yes No Australian Registered Charity or Deceased Estate A Foreign Charity or An Active Non-Financial Entity (NFE)*

*Active NFEs include entities where, during the previous reporting period, less than 50% of their gross income was passive income (e.g. dividends, interests and royalties) and less than 50% of assets held produced passive income. For other types

of Active NFEs, refer to Section VIII in the Annexure of the OECD 'Standard for Automatic Exchange of Financial Account Information' at www.oecd.org).

Other (Passive NFEs)

4.4 Foreign Controlling Persons

Are any of the Trust's Controlling Persons* tax residents** of countries other than Australia?

Yes No

*A Controlling Person is any individual who directly or indirectly exercises control over the Trust. For a Trust, this includes all Trustees, Settlers, Protectors or Beneficiaries.

**Tax residency rules differ by country. You can be a tax resident of a country based on the amount of time you spend in that country, the location of your residence or place of work. Tax residence in the US can be as a result of citizenship or residency.

If the Trustee is a company, are any of that company's Controlling Persons tax residents of countries other than Australia?

Yes No

If Yes to either of the two above questions, please provide the details below.

Controlling Person 1

Full name

Position in Trust (e.g. Trustee, Beneficiary etc)

Tax resident of these countries

Controlling Person 2

Full name

Position in Trust (e.g. Trustee, Beneficiary etc)

Tax resident of these countries

If there are further foreign Controlling Persons please provide information separately.

Section 5: Acknowledgment, name and date

Full name

I have read and accepted the Acknowledgements stated in this Application Form.

Date application form completed (DD/MM/YY)